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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Elsbree et al.

SERIAL NO.: 09/478,775   GROUP NO.: 2773

FILING DATE: January 6, 2000   EXAMINER: Thomas, J.J.

TITLE: Graphical Human-Machine Interface on a Portable Device

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ORIGINALLY FILED

RECEIVED

AUG 0 1 2002

Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or

☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and

   ☒ the requisite Statement is below, **OR**

   ☐ the requisite fee under 37 C.F.R. 1.17(p), namely $180.00, is included herein, or

☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee, AND**

☐   the requisite Statement is below, **AND**

☐   the requisite petition fee under 37 C.F.R. 1.17(p), namely **$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page <u>and</u> the Statement is required]**:

☒   1.   Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or

☐   2.   No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: July 22, 2002
Reg. No. 42,897

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PATENT
Attorney Docket No. ICO-004
(4594/11)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Elsbree et al.

SERIAL NO.: 09/478,775   GROUP NO.:   2773

FILING DATE: January 6, 2000   EXAMINER: Thomas, J.J.

TITLE: Graphical Human-Machine Interface on a Portable Device

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CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on this 22nd day of July 2002.

Irja Zarembok

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

Transmittal Form (1 page);
Information Disclosure Statement (2 pages);
Form PTO-1449 (1 page); and
Return receipt postcard

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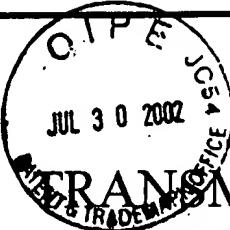
TRANSMITTAL FORM

Application Serial Number	09/478,775
Filing Date	January 6, 2000
First Named Inventor	Elsbree et al.
Group Art Unit	2773
Examiner Name	Thomas, J.J.
Attorney Docket No.	ICO-004 (4594/11)
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES *(check all that apply)*

☐ Fee Transmittal Form	☐ Copy of Notice to File Missing Parts of Application (PTO-1553)	☐ Notice of Appeal to Board of Patent Appeals and Interferences
☐ Check Attached ☐ Copy of Fee Transmittal Form	☐ Formal Drawing(s)	☐ Appeal Brief (in triplicate)
☐ Amendment/Response	☐ Request For Continued Examination (RCE) Transmittal	☐ Status Inquiry
☐ Preliminary ☐ After Final ☐ Affidavits/declaration(s) ☐ Letter to Official Draftsperson including Drawings [Total Sheets ____]	☐ Power of Attorney (Revocation of Prior Powers)	☒ Return Receipt Postcard
		☒ Certificate of First Class Mailing under 37 C.F.R. 1.8
	☐ Terminal Disclaimer	☐ Certificate of Facsimile Transmission under 37 C.F.R. 1.8
☐ Petition for Extension of Time	☐ Executed Declaration and Power of Attorney for Utility or Design Patent Application	☐ Additional Enclosure(s) *(please identify below)*
☒ Information Disclosure Statement ☒ Form PTO-1449 ☒ Copies of IDS Citations	☐ Small Entity Statement ☐ CD(s) for large table or computer program	
☐ Certified Copy of Priority Document(s)	☐ Amendment After Allowance	COPY OF PAPERS ORIGINALLY FILED
☐ Sequence Listing submission ☐ Paper Copy/CD ☐ Computer Readable Copy ☐ Statement verifying identity of above	☐ Request for Certificate of Correction ☐ Certificate of Correction (in duplicate)	

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Respectfully submitted,

(signature)
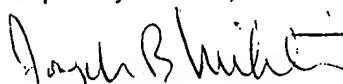
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